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Signature/Initial: Steven J. Luzik		

Standard Application Procedures for Permits to Use Experimental Face Equipment under Part 18, 30 CFR

1.0 Purpose

This document outlines the minimum application requirements necessary for an investigation leading to the issuance of an Experimental Permit for electric face equipment.

2.0 Scope

This Standard Application Procedure (SAP) encompasses all applications submitted for a permit under 30 CFR, Part 18.82.

3.0 Limitations of Permits

- 3.1 An application for a permit to use experimental electric face equipment in a gassy mine or tunnel will be considered only when submitted by the user of the equipment. When Experimental Permits are issued for equipment developed under a government research contract, the said agency, not the contractor, must submit the application letter and the Experimental Permit will be issued to that agency.
- 3.2 Should the contractor decide, after completing the research contract, to market the equipment, he must submit an application for approval in his name.
- Please note that even though an Experimental Permit has been issued, additional technical, administrative, and testing criteria may be required before a final approval is granted and fees will be charged accordingly.
- 3.4 Experimental Permits can also be issued directly to private parties when no government contract is involved. Should the private party decide to market the experimental equipment, they must submit an application for approval and pay the requisite fee.

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- 3.5 Under an Experimental Permit, the user has the opportunity to evaluate innovative equipment designs in underground gassy mines or tunnels. The Mine Safety and Health Administration (MSHA) at its discretion may waive certain requirements contained in Part 18, if the equipment is constructed so as not to constitute a fire or explosion hazard. Additionally, MSHA may require further criteria not specifically mentioned in Part 18 to assure the equipment will not constitute a fire or explosion hazard.
- 3.6 A permit will be effective for a period of six (6) months. For a valid reason, to be stated in a written application, MSHA may grant an extension of time for an additional period, not exceeding six (6) months. Further extensions of time will be granted only where, after investigation, MSHA finds that for reasons beyond the control of the user, it has been impossible to complete the experiment within the period covered by the extended permit.
- 3.7 The application letter must be submitted by the "user," who operates and monitors the equipment and is responsible for ensuring that any conditions of use, associated with the permit, are met.
- 3.8 A permit is issued for only one (1) machine and identical machines to be used as spares. Subsequent machines of the same design will be issued a new permit.
- Once a permit is issued, modifications (for design changes) to the permit may be granted; however, the expiration date of the permit will not change. A separate request must be submitted to extend the time limit. Major changes will require a new permit application.
- 3.10 If an applicant requires a permit on an intrinsically safe circuit or explosion-proof enclosure, which is part of a complete machine, the applicant must submit the permit application for the complete machine. Permits are not issued for separate intrinsically safe circuits or explosion-

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proof enclosures. The said items will be evaluated as part of the machine permit on which these intrinsically safe circuits or explosion-proof enclosures are installed.

- 3.11 All explosion-proof enclosures, parts, circuits, etc. must meet present requirements regardless if accepted under previous requirements, except where special justification is submitted and accepted by MSHA.
- 3.12 A permit does not give the authorization to advertise or market the equipment as permissible for use in gassy mines or tunnels.
- 3.13 MSHA may rescind, for cause, any permits granted.

4.0 Procedures

4.1 Considerable time and money can be saved if the application includes the required information necessary to ascertain compliance with Title 30 Code of Federal Regulations (30 CFR) Part 18. These regulations are accessible from the MSHA website at www.msha.gov

A copy of 30 CFR can be purchased from:

Superintendents of Documents U.S. Government Printing Office Washington, D.C. 20402 Telephone: 202-783-3238

- 4.2 All applications for an Experimental Permit must include the following:
 - 4.2.1 An Experimental Permit application letter.

 (See Appendix A) The application letter must be signed by the person responsible for answering any questions regarding the subject application. The following information must be contained in the letter:

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- 4.2.1.1 A company or government agency address. (The user of equipment)
- 4.2.1.2 Date of an application letter.
- 4.2.1.3 Company or government agency application code number. (This is any six digits or fewer number assigned by the applicant, which was not previously used to identify an application)
- 4.2.1.4 Government contract number. (if applicable)
- 4.2.1.5 The applicant's phone number.
 Additional persons and their
 phone numbers and addresses may
 also be included for technical
 consulting.
- 4.2.1.6 The applicant's title and original signature.
- 4.2.1.7 Type of machine or instrument.
- 4.2.2 Model/Type number, operating voltage, number of phases, frequency, current (a.c. or d.c.).
- 4.2.3 Serial number of machine or instrument.
- 4.2.4 A general description of the machine or instrument. For a machine, include details on function/operation and any unusual characteristics of the machine, either electrically or mechanically. For an instrument, include a technical description of the circuit operation with special emphasis on intrinsic safety design features.
- 4.2.5 For a machine, location and date for MSHA inspection. (if known)

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- 4.2.6 Location where the machine or instrument will be used (if known).
- 4.2.7 One copy of each drawing(s), bill of material, specifications, etc., which shows the details of the design and construction of the equipment as related to the applicable requirements of 30 CFR, Part 18.
- 4.2.8 Additional information to expedite the investigation can include photos, test reports, or literature. For any new equipment or circuits which are similar to previously accepted MSHA designs, the MSHA Acceptance Number (if known) of the previously accepted design should be referenced in the application letter.
- 4.2.9 The application letter should be sent to:

Chief, Approval and Certification Center Mine Safety and Health Administration Rural Route 1, Box 251 Industrial Park Road Triadelphia, West Virginia 26059

- 4.3 Checklists are attached to assist the applicant in providing sufficient information to MSHA. (See Appendix B for machine checklist and Appendix C for an instrument check list). It should be noted that additional information may be required due to the great variety of mining machines.
- 4.4 Upon receipt of the application package by the Approval and Certification Center a letter will be written to the applicant which includes an estimate of the maximum anticipated fee to complete the investigation and a tentative starting date. An authorization response form will also be included which indicates agreement to pay expenses up to the maximum estimated fee for the investigation or requests cancellation of the application. This form must be returned by the applicant before any further action is taken on the application. If the form

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letter is not returned within thirty days from the date of the letter, the application will be canceled. When unforeseen circumstances encountered during the investigation may result in exceeding the estimated fee, the applicant will be contacted and given the option of canceling the action or accepting the new estimated fee.

4.5 During the investigation applicants will be notified via a telephone or e-mail message of any discrepancies or additional information needed to process the application and a follow-up letter will then be sent. After all the technical documents are evaluated, a factory inspection will be required on machines. Once this is completed and any changes required as a result of the inspection are finalized, the official experimental permit number will be issued. An invoice for the total cost of the investigation will then follow.

5.0 Summary

Since the ultimate goal of most Experimental Permits is to test new equipment designs which will eventually be submitted for approval and be marketed to the mining industry, it is advisable to submit all drawings as complete as possible. This would significantly reduce the amount of investigation time when the equipment is submitted for approval.

All applicants are encouraged to contact Chief, Electrical Equipment Branch for machine permits or Chief, Intrinsic Safety and Instrumentation Branch for instrument permits at the Approval and Certification Center, telephone number (304) 547-0400 for additional clarification prior to the submission of an application. Assistance through technical meetings is available by appointment.

6.0 Review

This document will be reviewed every three years from the issue date.

7.0 Responsibility

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It is the responsibility of all Electrical Division personnel to ensure that applications are processed in accordance with this standard application procedure.

8.0 Distribution

All manufacturers of electric motors driven mine equipment and accessories.

9.0 Authority

30 CFR, Part 18.82

ABC Company, 950 Mining Road, Pittsburgh, PA 15293



January 1, 2000

Chief, Approval and Certification Center RR #1, Box 251, Industrial Park Road Triadelphia, West Virginia 26059

Gentlemen:

This is a request for an experimental permit for a new continuous miner, Model 100, 4160 volt, 3 phase, 60 hertz, alternating current, Company Code No. 010100.

We are requesting approval of the subject continuous miner assembled according to Layout Drawing 100.

The subject shearer consists of two (2) 300 hp cutter motors, one (1) 20 hp hydraulic motor, one (1) starter, and two (2) pushbutton stations. This machine is fully autonomous, and is monitored from an outby area of the mine.

Since this is a prototype continuous miner, it will be completely assembled and available for inspection on April 1, 2000, at the ABC Company, Do Little Mine, 950 Mining Road, Pittsburgh, Pennsylvania 15293.

Enclosed are all the drawings and specifications pertinent to this application. If there are any questions, please contact Mr. John Smith at (555) 555-0001.

Sincerely,

John Doe Design Engineer

Enclosure

(Appendix A)

MACHINE CHECKLIST

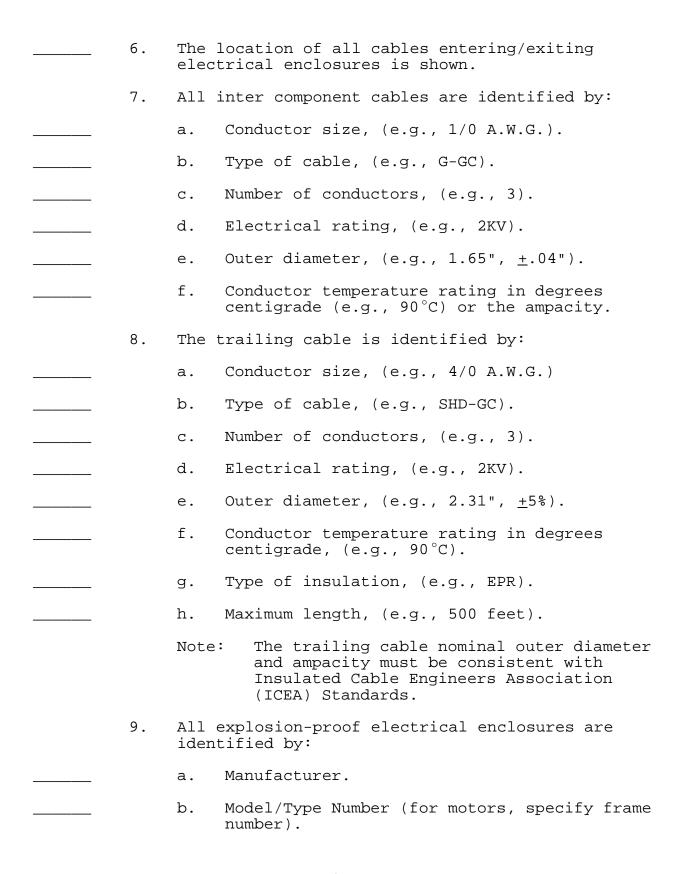
Complete <u>all</u> of the following by adding a check mark or N/A on the lines provided. The check mark signifies the item has been positively addressed. N/A signifies the item is not applicable to the design of the machine/system.

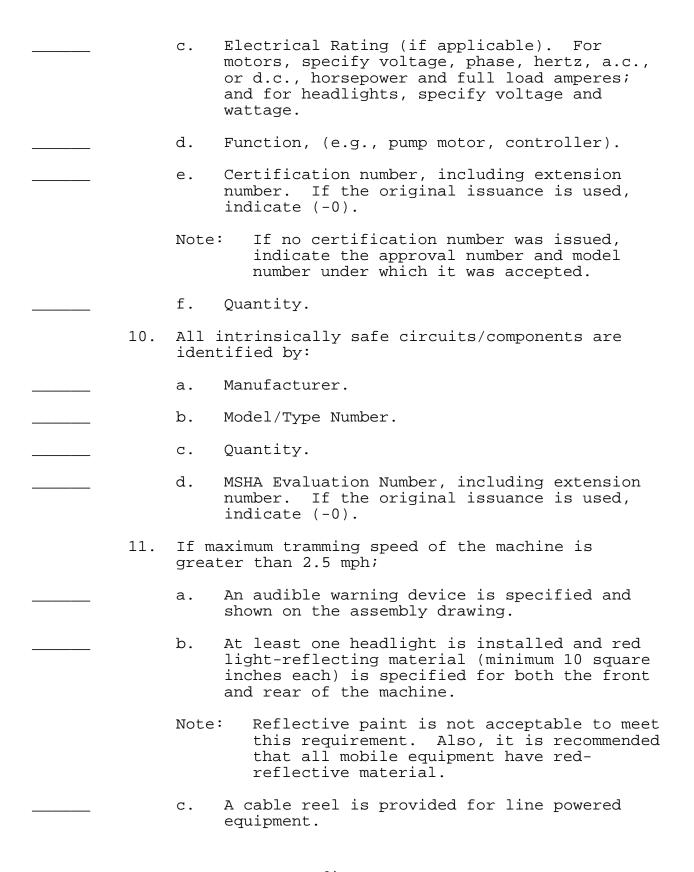
<u>Administr</u>	<u>ative</u>	-	
	1.	An ar	oplication letter is enclosed.
	2.	A dra	awing list and Caution Statement is enclosed.
	3.	on do	correspondence, specifications and lettering ocuments are in English or translated into ish and legible.
	4.	show	documents are titled, numbered, dated, and the latest revision or date. If multiple are submitted, this information is on each
<u>Technical</u>	-		
	5.	The a	assembly drawing(s) includes the following:
		a.	The overall length, width and height of the machine.
		b.	Location of all electrical enclosures and intrinsically safe components.
		C.	Location of the permit plate and method of attachment.
		d.	An insulated strain relief device where the trailing cable enters the machine, where cables exit a battery enclosure on battery-powered equipment and at both ends of all cables leading to components not on a common frame.
		Note	A drawing of the device must be submitted or referenced if on file with MSHA. Cable grip type strain relief devices are not accepted

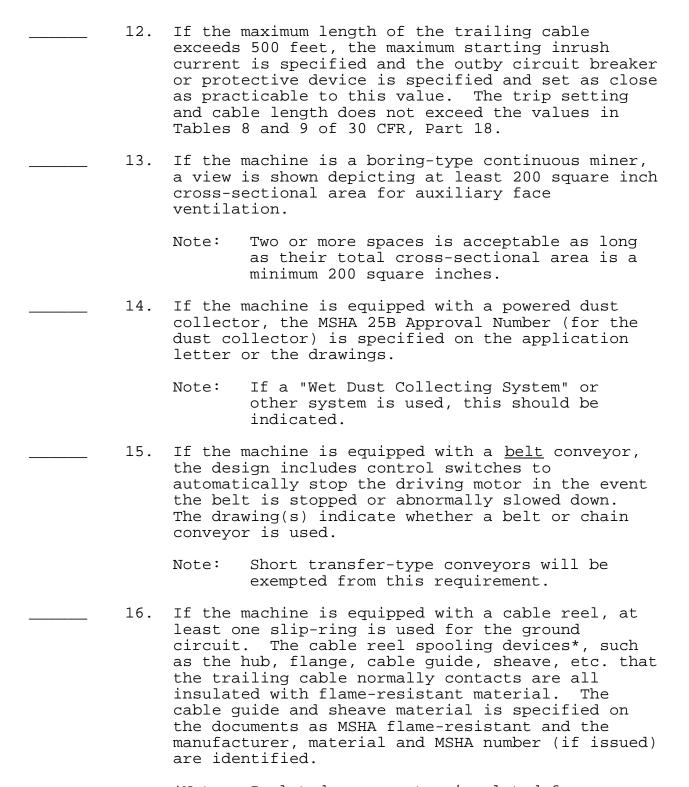
Appendix B (Sht.1)

tension.

where the cable is placed alternately in







*Note: Isolated components, insulated from the machine frame, are acceptable if they are inaccessible to personnel

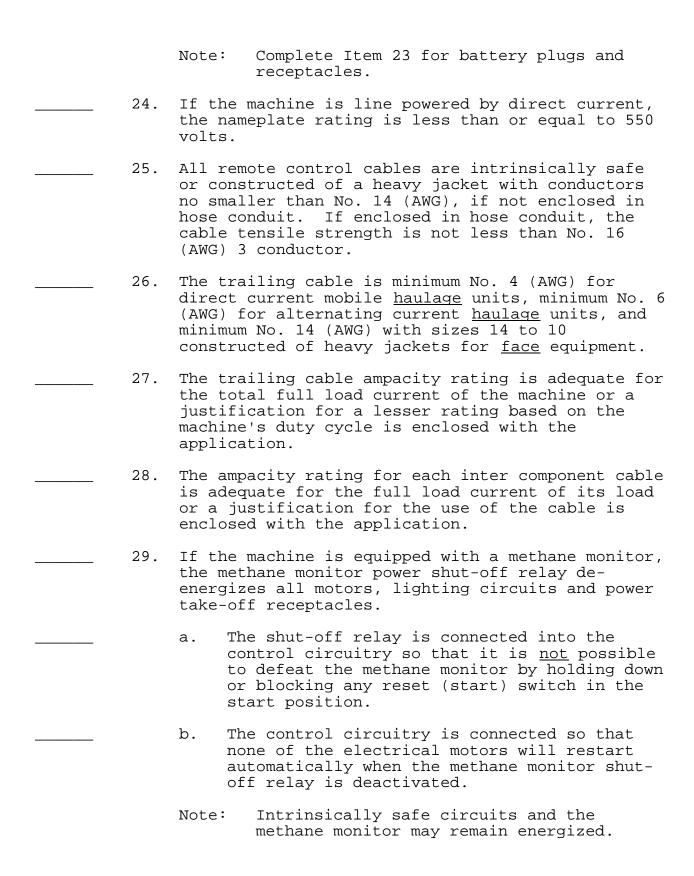
during normal operation of the machine. These components do not need to be insulated from the cable. If the machine's nameplate rating is from 661 to 1000 volts, a shielded trailing cable is provided or a cable reel is employed with the cable insulation rated at 2000 volts or more. If the machine is battery-powered, the nameplate rating is less than or equal to 240 volts nominal. 19. If the machine is battery-powered, the battery covers are secured (method shown) in the closed position. If the machine is battery-powered, the battery plugs and receptacles are either explosion-proof, interlocked or padlocked and held in place by a threaded ring or equivalent. A connector within a padlocked enclosure will be acceptable. Note: In lieu of a padlock, a device that is captive and requires a special tool to disengage to allow separation of the connector, along with a caution tag indicating that the connector must not be disengaged under load, is an acceptable means for meeting this requirement. A drawing of the Caution Tag is specified. If the machine is battery-powered, the Part 7 Approval Number or Part 18 Certification Number is specified. 22. If the machine is battery-powered, short-circuit protection is provided for each wire or cable leaving the battery box and the protective device is in an explosion-proof enclosure as close as practical to the battery terminals. Protective devices installed within a Note: nearby explosion-proof enclosure will be acceptable provided the exposed portion of the cable does not exceed 36 inches.

Appendix B (Sht.5)

used in an intrinsically safe circuit.

All plugs and receptacles are explosion-proof or mechanically or electrically interlocked unless

23.



 30.	batt have acce	energy storage devices (not including eries) housed in explosion-proof enclosures a means of being discharged before they are ssible to personnel. The maximum discharge of the device is specified on the drawing.
31.	cond power and	cross-sectional area(s) of the grounding uctor(s) is at least 50 percent of one of the r conductors on No. 6 (AWG) or larger cables, at least the same size on cables smaller than 6 (AWG).
32.	encle gase all mate	e are no insulating materials in the osures that give off flammable or explosive when decomposed electrically. Additionally, parts coated or impregnated with insulating rials were heat treated to remove any ustible solvents before assembly.
	Note	: Air drying insulating materials are excepted.
33.	mech exce	temperature of the external surfaces of the anical or electrical components does not ed 150°C (302°F) under normal operating itions.
34.	cabl elec	he machine is equipped with fiber optic e(s), they do not contain current-carrying trical conductors and also meets the following eria.
	i.	The cable is MSHA accepted flame-resistant unless totally enclosed within an MSHA flame-resistant hose conduit or other MSHA flame-resistant material, or totally contained within an explosion-proof enclosure.
	ii.	A strain relief device is provided at both ends of the cable where it enters an explosion-proof enclosure not on a common frame.
	iii.	All the conductive members are grounded on cables which contain noncurrent-carrying conductive members, such as metallic strength members and metallic vapor barriers.
	iv.	Any cable exiting an explosion-proof enclosure was explosion tested in a gland

arrangement similar to that being used and in an MSHA tested enclosure at a pressure of approximately 150 psi or the tests were waived based on a previously accepted similar design.

v. The cable manufacturer, type and outside diameter (including tolerance) is specified.

Note: Cables which contain both optical fibers and current-carrying electrical conductors will be classified as electrical cables and must meet the requirements of 30 CFR Part 18.

- 35. All circuit-interrupting devices can be reset without opening the compartment in which they are enclosed and no explosion-proof enclosure is required to be opened to operate a switch, rheostat or other device.
- 36. All components and quantities (motors, solenoids, lights, ISC components, etc.) listed on the bill of materials, etc., are also shown on the layout and schematic/wiring diagram(s).
- 37. The schematic/wiring diagram(s) includes/specifies the following (where applicable).
 - a. Short-circuit protective devices for all cables exiting explosion-proof enclosures, including their electrical ratings and trip settings, in amperes.

Note: If one protective device is used to protect several cables, the protective device must protect the smallest cable.

- b. Primary and secondary transformer voltages and any voltage change from AC to DC.
- c. Labels for all major switches (as to functions), example, emergency stop switch, pump start, light switch, etc.
- d. Separate ground connections for trailing cables with ground and ground check conductors, indicating separate termination of these conductors.

- Separate grounding conductors to indicate all e. headlight and luminaire assemblies are grounded by a separate conductor. f. The machine input voltage(s). The voltage of the control circuit. q. Note I: The voltage cannot exceed nominal 120 volts line-to-line alternating current. Note II. Not applicable for direct current. A single circuit-interrupting device by means of which all power conductors, including lighting conductors, can be de-energized at the machine. The device shall simultaneously open all Note: phase conductors on an alternating current machine, and both line conductors on a single phase, alternating current or direct current machine. The interrupting of all conductors shall occur in a single enclosure. Overload protection for all motors, including i. the trip settings in amperes. The overload devices must be in at Note I: least two phases of an AC motor circuit, the activation of one device must open all three phases. Note II: If current transformers are used in conjunction with overload devices, the turns ratio must be specified.
 - j. A separate two-pole switch to deenergize all power conductors to headlights and luminaire.
 - Note I. Relay actuated contactors are not acceptable as the sole means of providing this function.
 - Note II: More than one separate two-pole switch may be used; however, each switch must only control a lighting circuit.

Appendix B (Sht.9)

k. Identification of all intrinsically safe circuits by the MSHA evaluation number, including extension number. If the original issuance is used, indicate (-0). 1. The note "Any change(s) in the intrinsically safe circuitry or components may result in an unsafe condition." Note: For each schematic/wiring diagram(s) that contains intrinsically safe circuits. Cover interlocks on covers providing access m. to power fuses (other than headlight and control circuit fuses). The interlock interrupts the electrical circuit in the explosion-proof enclosure and prevents automatic re-energization of the circuit when the explosion-proof integrity of the enclosure is reestablished. The power fuses are located on the load side of the circuit interrupting device. The location of the basic electrical parts n. such as the circuit breakers, overloads, fuses, switches and contactors in relationship to the enclosures. The Peak Inverse Voltage and Forward Current ο. Rating for grounding diodes. At least one prong (pin) connected to the р. machine frame ground in addition to those used for power when the machine is equipped with a "Power Take-Off". The trip setting in amperes of the outby q. circuit breaker or protective device protecting the trailing cable, if not specified on the assembly drawing or bills of material.

Note III: On three phase lighting circuits, a

three-pole switch must be used.

that it is MSHA approved.

Note:

On direct current circuits, if fuses are

used, indicate the electrical rating and

be provided (notes/statements are acceptable). All electrical cables are isolated from a. hydraulic lines. All headlights and luminaire are protected by b. guarding or location. All moving parts are guarded (rotating C. belts/chains, fan blades, etc.). d. The maximum tramming speed (unloaded). Line-powered equipment cannot exceed Note: 6 M.P.H. Wiring for non-intrinsically safe circuit e. conductors and intrinsically safe circuit conductors is not intermingled with wiring for other intrinsically safe circuit conductors. Unless the circuit was evaluated in that Note: mode. f. All V-belts are static conducting per Rubber Manufacturer's Association Technical Standards. The magnesium content of any external g. aluminum alloy fans, pulleys, or other rotating devices does not exceed 0.6%. The machine has a load-locking valve system h. that meets the Load-Locking Valve that meets the following criteria: i. The load locking valve must be attached directly to the cylinder port that is subject to the hydraulic pressure induced by the weight of the boom or cutting head, or directly to a section of steel tubing welded to the cylinder port and attached to the cylinder. In either case the load locking valve shall be attached directly to the cylinder in a manner that precludes

Where applicable the following information shall

disconnecting the line between the load

locking valve and the cylinder without first

detaching the load locking valve from the cylinder.

- ii. The rated working pressure of the load locking valve must be greater than the maximum system operating pressure.
- iii. If the load locking valve has over pressure relief capability, the over pressure relief setting shall be sufficient to allow proper operation of the load locking valve.
- iv. If the load locking valve is pilot operated, the hydraulic system shall be designed to ensure that the residual pilot pressure or line back pressure will not hold the load locking valve open when the control valve is in the neutral position.
- v. Adequate hydraulic filtration shall be provided to ensure that the load locking valve will operate properly throughout its normal service life, when the hydraulic system is subjected to rigorous ever day mining conditions.

Note: This statement must be signed (original signature) by a registered, professional engineer and must be submitted when the machine/system uses hydraulic cylinders to elevate cutting heads and conveyor booms on continuous miners and loading machines.

- i. The voltage ratings of all conductors and cables within the enclosures is compatible with the impressed voltage.
- j. All components on a common frame are solidly frame grounded when the inter component cable(s) to those components do not have separate grounding conductors.
- k. The trailing cable is MSHA accepted flameresistant and all other electrical cables/cords are MSHA accepted flameresistant or enclosed in MSHA accepted flameresistant hose conduit.

Note: This includes intrinsically safe cables.

l. An MSHA accepted ground wire monitor will monitor the ground connection to the machine and components not on a common frame. For machines rated in excess of 660 volts. Note: All conveyor belting is fire resistant per 30 m. CFR, Section 18.65. No sintered metallic friction materials are n. used on the equipment unless used in conformance with the exceptions stated in the Approval and Certification Center's policy letter dated May 22, 1979. A&CC's policy is to restrict the use of sintered metallic friction materials to enclosures considered explosion proof or to enclosures that prohibit the outside atmosphere from entering the enclosure. A totally enclosed clutch type brake system is considered acceptable. ο. All cables are protected from mechanical damage and clamped in place to prevent undue movement. If the machine is wheel mounted, one of the p. following statements or equivalent is used to describe the parking brakes. i. The parking brake provided holds the machine stationary up to its maximum gradeability despite any contraction of the brake parts, exhaustion of any nonmechanical source of energy, or leakage of any kind. ii. The design of the driving mechanism will preclude accidental movement of the machine when parked. Note: Small machines such as fans and rock dusters, which are not selfpropelled, may use wheel chocks,

lock-down bolts and similar devices

provided they are shown on the assembly drawing and permanently affixed to the machine. Each device

will be separately evaluated to insure the design is adequate.

q. All non-certified, accepted explosion-proof enclosures are identified by a permanent marking that consists of the following capital letters, "US MSHA", "US MESA" or "USBM" (not less than 1/4" in height) and enclosed in a circle not less than 1.00" in diameter.

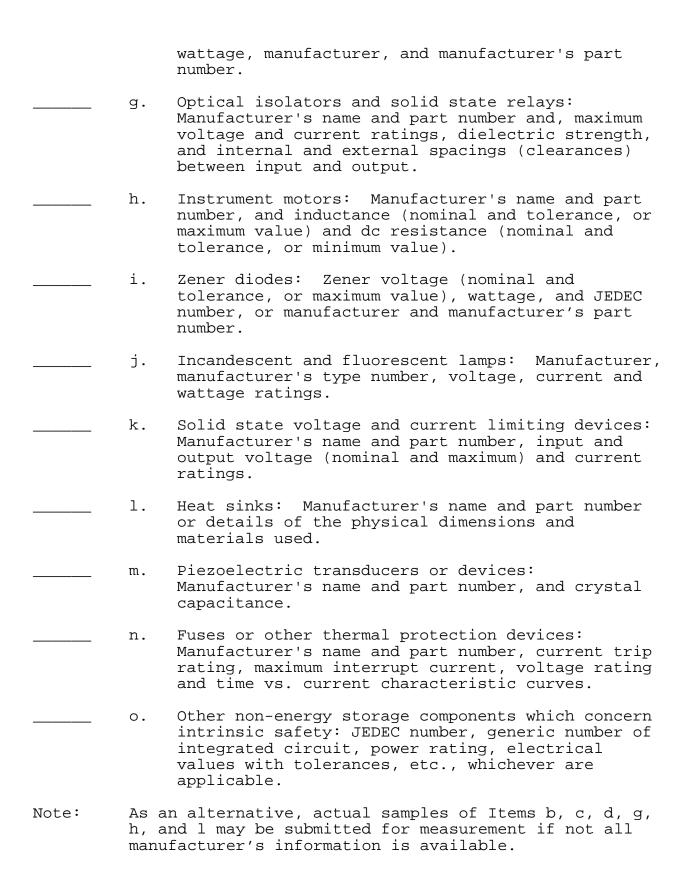
Note: For enclosures that meet all applicable requirements of Part 18, but have not been issued a separate certification number, that is, they have been evaluated and accepted under the total approval investigation. A drawing of the marking must be submitted.

INTRINSICALLY SAFE INSTRUMENT OR CIRCUIT CHECKLIST

Complete <u>all</u> of the following by adding a check mark or N/A on the lines provided. The check mark signifies the item has been positively addressed. N/A signifies the item is not applicable to the design of the machine/system.

<u>Administr</u>	<u>ative</u>	
	1.	An application letter is enclosed.
	2.	A drawing list and Caution Statement is enclosed.
	3.	All correspondence, specifications and lettering on documents are in English or translated into English and legible.
	4.	All documents are titled, numbered, dated, and show the latest revision or date. If multiple pages are submitted, this information is on each page.
<u>Technical</u>		
	5.	An overall assembly drawing(s) or photographs showing the physical construction of the instrument or circuit and identifying the major components.
	6.	Subassembly drawings(s) or photographs which show the construction and location of protective components and routing of electrical conductors, if not shown on the overall assembly drawing.
	7.	Layout drawing(s) or photographs showing the physical location of the components on the printed circuit boards.
	8.	If necessary, printed circuit board artwork drawing(s) to true scale or sample circuit board showing spacing between tracings.
	10.	For complex systems, where the interaction of major components is not obvious or where several alternative interconnection arrangements are possible, a block diagrams of the system must be provided.

11. Complete electrical schematic(s) of the equipment which concern intrinsic safety. The schematics must clearly show which circuits are located in a gassy location, fresh air location or housed in explosion-proof enclosures. 12. An electrical parts list. Each electrical component must be specified by its relevant electrical parameters and tolerances. The specifications for various parts which may be required are listed below: Batteries: Quantity, type, voltage, capacity, and a. manufacturer's name and part number. Transformers: Manufacturer's name and part b. number, inductance (nominal and tolerance) and dc resistance (nominal and tolerance), specifications showing the physical construction of the transformer to include: core type, insulation rating, size of wire, number of turns, physical dimensions and spacing (clearances) of terminals, and maximum temperature rating of insulation. Voltage and current ratings and transformer types must be listed for each winding of each protective and power transformer. Inductors: Manufacturer's name and part number, c. inductance (nominal and tolerance, or maximum value) and dc coil resistance (nominal and tolerance, or minimum value). d. Mechanical Relays: Manufacturer's name and part number, coil inductance (nominal and tolerance, or maximum value), coil resistance (nominal and tolerance, or minimum value), and physical separation (clearances) between coil terminals and switching contacts or contact leads. Type, capacitance (nominal and e. Capacitors: tolerance, or maximum value), and working voltage. If the capacitor is used as protective components to provide intrinsic safety isolation, the maximum dielectric test voltage must be specified. f. Current limiting resistors: Resistance (nominal and tolerance), type of construction (single layer wire wound, metal oxide film or metal film),



12.	Equipment Tests.		
	In general, the quantity of the components and equipment that may be required for inspection and testing are listed below:		
	a.	Each instrument.	
	b.	Three of each type inductive component rated over 100 microhenries (e.g., motors, relays, speakers, transformers, inductors, etc).	
	C.	Three sets of each type battery or battery assembly.	
	d.	Ten samples of each type current limiting resistor.	
	e.	Thirty samples of each type incandescent or fluorescent lamp.	
	f.	Five samples of each type piezoelectric transduced device.	
	g.	Ten samples of each type protective fuse or other thermal protection device.	
	h.	Ten samples of each type protective optical isolator.	
Note:	If any of these components are normally potted or encapsulated, please submit both encapsulated and unencapsulated units. Encapsulated units are required if a dielectric strength test is needed to determine		

the sufficiency of the encapsulating material and for photographs for the final records.